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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/955,963	09/20/2001	Hiroshi Sumiyama	018775-842	1910
7590 Platon N. Mandros BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, VA 22313-1404			EXAMINER HANG, VU B	
			ART UNIT 2625	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

09/955,963

**Applicant(s)**

SUMIYAMA ET AL.

**Examiner**

Vu B. Hang

**Art Unit**

2625

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 17 March 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 September 2001 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/02)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date 12/19/2001.

#### **DETAILED ACTION**

- This office action is responsive to the communication filed on 03/17/2008.
- The amendments and new Claims 21-26 have been entered and made of record.
- Claims 1-26 are pending in the application.

#### ***Response to Arguments***

1. Applicant's arguments filed on 03/17/2008 have been fully considered but they are not persuasive. The applicant argues that the cited prior art, Nishiyama et al. (US Patent 6,067,168), fails to disclose or suggest "a key that generates a signal in direct response to operation by a user after a transfer of the image data received by the input device to the image memory of the memory- incorporating apparatus connected to the input device via the network". The applicant also argues that in Nishiyama's apparatus, the processed image data is returned automatically, and not upon the reception by the copiers 92 or 93 of a signal generated by a key in direct response to operation by a user at the copier 91 after a transfer of the image data. The examiner disagrees for the following reasons.

2. Nishiyama teaches that the processed image data is returned to the requesting machine (copier 91) upon receiving a "return request" signal by the machine performing the image processing (see Fig.1 (\$54), Fig.15 (S14,S15,S16,S10) and Col.17, Line 42-48). Nishiyama further teaches a user can input control data through a user interface from the requesting machine (copier 91) to send image processing and control information to specific machines (copier 92 and copier 93) that are communication with the requesting machine (see Fig. 13b, Fig. 13c and Col. 16, Line 4-18). This shows that the input keys from the user interface screen could generate the

return request signal for returning the processed image data to the requesting machine. In another embodiment, Nishiyama teaches that an individual machine can process the image data and return the processed image data upon receiving a "return request" signal (see Fig.26 (106) and Col.32, Line 5-13). Nishiyama also teaches that an operator at the digital copying machine 92 and 93 can control the copying machines to perform image processing and send the processed image data to another selected machine (see Fig.11 (91,92,93), Fig.14 and Col.17, Line 5-32). It can be seen that the operator at the remote copying machines 92 and 93 is capable of sending the image data back to the original machine 91, upon receiving a return request from the copying machine 91. This would enable the user at the remote copying machines to take control of the copying machine to perform specific image processing, and then send the image data back to the original machine 91 when the image data is processed and ready. Therefore, in the examiner's opinion, Nishiyama suggests "using a key that generates a signal in direct response to operation by a user after a transfer of the image data received by the input device to the image memory of the memory-incorporating apparatus connected to the input device via the network".

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ainai (US Patent 5,663,800) in view of Nishiyama et al. (US Patent 6,067,168).

3. Regarding **Claims 1 and 17**, Ainai discloses an image forming apparatus (see Fig.3 and Col.2, Line 17-22), comprising: an input device for receiving image data as an input (see Fig.3 (1) and Col.6, Line 59-66); transfer means for transferring the image data received by the input device to an image memory of a memory incorporating apparatus connected to the input device via the network (see Fig.3 (1,6,7) and Col.3, Line 45-50); and a printing device for forming an image with use of the image data received by the reception means (see Fig.3 (3)). Ainai fails to disclose a key that generates a signal in response to operation by a user after a transfer of the image data received by the input device to the image memory of the memory-incorporating apparatus connected to the input device via the network; and a reception means for receiving the image data stored in the image memory in accordance with the signal.

4. Nishiyama, however, discloses a key for generating a signal in response to operation by a user (see Fig. 6 - Fig.9 and Col.10, Line 21-58) and a reception means for receiving the image data stored in the image memory in accordance with the signal (see Fig. 6 - Fig.9 and Col. 10, Line 21-58). Nishiyama also teaches that the processed image data is returned to the requesting machine (copier 91) upon receiving a "return request" signal by the machine performing the image processing (see Fig.1 (\$54), Fig.15 (S14,S15,S16,S10) and Col.17, Line 42-48); and that a user can input control data through a user interface from the requesting machine (copier 91) to send image processing and control information to specific machines (copier 92 and copier 93) that are communication with the requesting machine (see Fig.13b, Fig.13c and Col.16, Line 4-18). Nishiyama further teaches that an operator at the digital copying machine 92 and 93 can control the copying machines to perform image processing and send the processed image data to another selected machine (see Fig.11 (91,92,93), Fig.14 and Col.17, Line 5-32)

5. Aina and Nishiyama are combinable because they are from the same field of endeavor, namely image processing systems. At the time of the invention, it would have been obvious for one skilled in the art to include to the apparatus a key that generates a signal in response to operation by a user after a transfer of the image data received by the input device to the image memory of the memory-incorporating apparatus connected to the input device via the network; and a reception means for receiving the image data stored in the image memory in accordance with the signal. The motivation would be to include a user interface for sending and distributing print commands from a requesting device to other image-forming devices in communication with the requesting device, and receiving back the processed image data upon request. The user interface would enable an operator from the requesting device to send image data to a more capable device to perform specific image processing on the image data, and receiving back the Processed image data upon request. With the keys of the user interface, an operator can provide the image processing data and control data from the requesting device to the intended image-forming device that is to perform specific image processing. It is further obvious that the operator at the remote copying machines 92 and 93 is capable of sending the image data back to the original machine 91, upon receiving a return request from the copying machine 91. The motivation for including a key for generating the memory recall signal in direct response from operation by the user would enable the user at the remote copying machines to send the image data back to the original machine 91 when the image data is processed and ready.

6. Regarding **Claims 2 and 10**, Aina further discloses a retrieval means for retrieving the image data in the memory of the memory-incorporating apparatus connected to the network (see Fig.3 (6,7), Col.3, Line 18-29 and Col.3, Line 45-50).

7. Regarding **Claims 3 and 11**, Ainai discloses the transfer means of Claim 2 but fails to disclose a transfer means for transferring the image data to the image memory of the memory-incorporating apparatus retrieved by the retrieval means. Nishiyama, however, discloses a transfer means for transferring the image data to the image memory of the memory-incorporating apparatus retrieved by the retrieval means (see Col.2, .Line 66 - Col.3, Line 3). At the time of the invention, it would have been obvious for one skilled in the art to include a means for transferring the image data to the image memory of the memory-incorporating apparatus retrieved by the retrieval means. The motivation would be to provide an image data storage means in which the stored image data can be retrieved for repeat printings. The storage means would benefit printings in which the same image data are repeatedly used.

8. Regarding **Claims 4 and 12**, Nishiyama further discloses a warning device for informing a user that the retrieval means cannot identify any memory-incorporating apparatus (see Col. 18, Line 47-49). At the time of the invention, it would have been obvious for one skilled in the art to include the warning device. The motivation would be to detect the presence of image data to be printed. A user trying to perform a printing operation should be notified when there are no image data present to be printed.

9. Regarding **Claims 5 and 13**, Ainai further discloses a transfer instructions means for inputting a data transfer instruction in response to operation by a user (see Fig. 1 (1,6) and Col.6, Line 63-66), wherein the retrieval means retrieves the image data in the image memory of the memory-incorporating apparatus when the data transfer instruction is inputted (see Fig. 1 (1,6), Col.3, Line 18-29 and Col.3, Line 45-50).

10. Regarding **Claims 6 and 14**, Nishiyama further discloses the key is displayed on a display device (see Fig.6 - Fig.9 and Col.4, Line 46-48).

11. Regarding **Claims 7 and 15**, Nishiyama further discloses a user interface display that identifies the memory-incorporating apparatus (see Fig.8a and Col. 11, Line 7-12) and determining whether the memory-incorporating apparatus is able or unable to store image data request (see Fig.27 (118) and Col.32, Line 47-55). Aina and Nishiyama, however, fail to disclose "not displaying the key when the retrieval means identifies a no-memory-incorporating apparatus". Official notice is taken that it is well known in the art at the time of the invention to remove a key or menu after the option is no longer available. It would have been obvious to remove the key if the retrieval means identifies a no-memory-incorporating apparatus. The motivation would be to minimize confusions and time wasted for viewing options that are no longer in use.

12. Regarding **Claims 8 and 16**, Nishiyama further discloses the key is displayed on the display device during or after image forming operation by the printing device with use of image data inputted by the input device (see Fig.17 (121a) and Col.4, Line 43-51).

13. Regarding **Claim 9**, Aina discloses an image forming apparatus (see Fig.3 and Col.2, Line 17-22), comprising: a buffer for holding the image data created by the reading device (see Fig.3 (5a-c) and Col.3, Line 23-29); a printing device for forming a copy of the image document on a sheet of paper based on the image data held in the buffer (see Fig.3 (3)); a transfer means for transferring the image data stored in the buffer to an image memory of a memory-incorporating apparatus connected to a network via the network; and a control means for



controlling the printing device which forms an image with use of the image data received by the reception means (see Fig.3 (1,6,7) and Col.3, Line 45-50); and a control means for controlling the printing device, which forms an image with use of the image data received by the reception means (see Fig.3 (1,3,6) and Col.6; Line 59-66). Aina fails to disclose a reading device; a key for generating a memory recall signal in response to operation of the key by a user after a transfer of the image data received by the input device to the image memory of the memory-incorporating apparatus connected to the input device via the network; and a reception means for receiving the image data stored in the image memory in accordance with the signal.

14. Nishiyama, however, discloses a reading device for creating data by reading an image document (see Fig. 11 : (91-93) and Col. 12, Line 56-62), a key for generating a signal in response to operation by a user (see Fig. 6 - Fig.9 and Col.10, Line 21-58) and a reception means for receiving the image data stored in the image memory in accordance with the signal (see Fig. 6 - Fig.9 and Col. 10, Line 21-58). Nishiyama also teaches that the processed image data is returned to the requesting machine (copier 91) upon receiving a "return request" signal by the machine performing the image processing (see Fig.1 (\$54), Fig.15 (\$14,S15,S16,S10) and Col.17, Line 42-48); and that a User can input control data through a user interface from the requesting machine (copier 91) to send image processing and control information to specific machines (copier 92 and copier 93) that are in communication with the requesting machine (see Fig. 13b, Fig.13c and Col.16, Line 4-18). Nishiyama also teaches that an operator at the digital copying machine 92 and 93 can control the copying machines to perform image processing and send the processed image data to another selected machine (see Fig.11 (91,92,93), Fig.14 and Col.17, Line 5-32). It can be seen that the operator at the remote copying machines 92 and 93 is

capable of sending the image data back to the original machine 91, upon receiving a return request from the copying machine 91. This would enable the user at the remote copying machines to take control of the copying machine to perform specific image processing, and then send the image data back to the original machine 91 when the image data is processed and ready.

15. Ainai and Nishiyama are combinable because they are from the same field of endeavor, namely image processing systems. At the time of the invention, it would have been obvious for one skilled in the art to include to the apparatus a key that generates a signal in response to operation by a user after a transfer of the image data received by the input device to the image memory of the memory-incorporating apparatus connected to the input device via the network; and a reception means for receiving the image data stored in the image memory in accordance with the signal. The motivation would be to include a user interface for sending and distributing print commands from a requesting device to other image-forming devices in communication with the requesting device, and receiving back the processed image data upon request. The user interface would enable an operator from the requesting device to send image data to a more capable device to perform specific image processing on the image data, and receiving back the processed image data upon request. With the keys of the user interface, an operator can provide the image processing data and control data from the requesting device to the intended image-forming device that is to perform specific image processing. It is further obvious to include to the apparatus a reading device for creating the image data. The motivation would be to scan or read in image data to perform specific image processing on. The scanned or read image data would be input data for the apparatus to perform specific image processing on.

16. Regarding **Claim 18**, the rational provided for the rejection of Claim 9 is incorporated herein.
17. Regarding **Claim 19**, the rational provided for the rejections of Claim 1 is incorporated herein.
18. Regarding **Claim 20**, the rational provided for the rejection of Claim 9 is incorporated herein.
19. Regarding **Claims 21**, Ainal further discloses wherein the image forming apparatus does not have an image memory (see Fig.3 (1) and Col.2, Line 17-22).
20. Regarding **Claim 22-26**, the rational provided for the rejection of Claim 9 is incorporated herein.

### ***Conclusion***

21. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
22. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

23. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vu B. Hang whose telephone number is (571)272-0582. The examiner can normally be reached on Monday-Friday, 9:00am - 6:00pm.

24. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on (571) 272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

25. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Vu B. Hang/  
Examiner, Art Unit 2625

/David K Moore/  
Supervisory Patent Examiner, Art Unit 2625